

# Fraud Investigation in Hong Kong

Four-Session Training Course (Part 2 of 2)

in a face-to-face and online hybrid format



Saturday mornings in November and December 2021 in Hong Kong

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## Fraud Investigation Training (Part 2 of 2)

### CPE Hours:

12 (3 per session)

### Date & Time:

20<sup>th</sup> & 27<sup>th</sup> November  
4<sup>th</sup> & 11<sup>th</sup> December 2021  
9am - 12noon

### Live and by Webinar:

MWT6, Meng Wah Complex,  
HKU Campus at Pokfulam,  
Hong Kong (limited seating)  
- OR -  
Zoom webinar  
(details to be announced)

### About the Course:

- Brought to you by the Hong Kong Chapter of the Association of Certified Fraud Examiners.
- We offer two major training events per year, each provides a four-session training course at HKU campus. The second part is presented on Saturday mornings in November and December 2021 and is tailored to the needs of Hong Kong fraud examiners and offers 12 CPE hours.
- The training covers areas specific to Hong Kong and mainland China which are not covered elsewhere in ACFE training materials.
- The content will assist candidate CFEs preparing for their CFE examination and draws on the experience of locally based CFEs and experts in fraud, law, and risk management, considering topics that will broaden the expertise of our certified members.
- We can now offer this course in a hybrid format; on-line and live at the HKU campus. A limited number of seats will be available at the HKU campus.

### Organized by:



### Who Should Attend?

- Fraud investigators and fraud examiners in both the private and public sectors, as well as compliance officers, accountants, auditors, regulators, financial analysts, bankers, lawyers, risk and internal control managers.

### About ACFE:

- The Association of Certified Fraud Examiners is the world's largest anti-fraud organization and premier provider of anti-fraud training and education. ACFE's 90,000 members combat business fraud worldwide and inspire public confidence in the integrity and objectivity of fraud examiners.
- The ACFE Hong Kong Chapter holds regular social and training activities to connect anti-fraud professionals and promote professional advancement in the prevention, detection, and investigation of fraud in Hong Kong.

### In Partnership With:



## Handling investigations in the digital era: a legal update

20<sup>th</sup> November 2021 (Saturday), 9am - 12noon, 3 Hours / 3 CPE Credits

**Presenters: Jill Wong, Partner, Howse Williams**

**Armond Chan, Barrister-at-law, Campanile Management Consulting**

**Vic Choi, Consultant, Howse Williams, Director of ACFE Hong Kong Chapter**

**Jill Wong's** areas of practice are financial services and corporate regulatory and compliance issues. She is regularly identified as a leading lawyer in legal directories. She was previously Deputy General Counsel at the Hong Kong Monetary Authority, and has extensive experience advising on banking and securities laws and rules.

**Armond Chan** focuses on litigations in relation to financial/economic crimes, commercial fraud, and corporate governance matters. He was a director of the Enforcement Division of the Securities and Future Commission, after serving as a Chief Superintendent and the lead of the Commercial Crime Bureau of Hong Kong Police Force.

**Vic Choi** is a consultant of Howse Williams and his key practice areas are advising MNCs on fraud, ethics, regulatory and HR issues and advising financial institutions on regulatory matters, in particular investigations and prosecutions brought by regulators. Before his legal career he was a Detective Senior Inspector in the Hong Kong Police Force.

### Outline:

Handling fraud investigations has always required specific legal and investigation expertise. Now, with fraudsters using social media and cryptocurrencies, we need to be familiar with these new channels for criminal activity.

This seminar will cover:

- Legal aspects of social media and cryptocurrencies
- The nature of cryptocurrencies
- How to handle internal or regulatory investigations in the digital world
- Traditional areas which are still relevant such as legal privilege, dealing with search warrants

## Exploring the application of digital forensics and intelligence research to detect and respond to fraud

27<sup>th</sup> November 2021 (Saturday), 9am - 12noon, 3 Hours / 3 CPE Credits

**Presenters: Xiangrui Kong, GCFA, GREM, GDAT, GCIH, EnCE, CPIA, Cellebrite CMFF & CCPA, MSc(CompSc), Reactive Services Group Aon Cyber Solutions Asia**

**Joy Chen, BA, MA, Intelligence Group Aon Cyber Solutions Asia**

**Karis Wen, GCFE, MSc(CompSc), X-Ways, Reactive Services Group Aon Cyber Solutions Asia**

**Xiangrui Kong** is a Manager of the Aon Cyber Solutions' Reactive Group Asia, specializes in incident response and deep technical analysis on a range of corporate systems and personal devices including Linux and Windows servers, network attached storage, cloud infrastructure, electronic mail systems, desktop and mobile devices.

**Joy Chen** is an Associate in Aon Cyber Solutions' Intelligence Group Asia, focusing on due diligence investigations in Asia and US. Joy has consulted on bad leaver and IP theft, as well as data privacy investigations for US technology companies. She is fluent in Mandarin and English and has full working proficiency in Korean and French.

**Karis Wen** has conducted numerous large scale IR matters involving active ransomware, in addition to independently running business email compromise investigations; running log collection and deep technical analysis, reporting findings on the threat actor's entry point, malicious activities, and recommendations for network security hardening.

### Outline:

Fraudulent activity remains prevalent in today's modern workplace, and is often facilitated by the various technologies commonly in use. The team of speakers will be sharing anonymized real world scenarios to illustrate how digital forensics, due diligence and Intelligence research can be applied to detect and respond to a range of fraudulent activity. They will touch on some of the common tools & platforms used, methodologies applied, and resources leveraged to accomplish investigative objectives.

Case work examples covered in this seminar:

- Employees suspected of theft of valuable Intellectual Property (IP) or conversely employees introducing stolen IP into the hiring company environment
- Fraudulent reproduction of products and business models in other jurisdictions
- Business Email Compromise (BEC) resulting in significant financial losses through wire fraud

## Interview skills for private investigations

*4<sup>th</sup> December 2021 (Saturday), 9am - 12noon, 3 Hours / 3 CPE Credits*

### **Presenters: Kris Lee, Director of ACFE Hong Kong Chapter**

**Kris Lee** began his investigation career in 1990 when he joined the Independent Commission Against Corruption. Apart from corruption investigations, Kris is also experienced in surveillance, firearms and close protection, intelligence gathering and analysis, informant handling and undercover operations as well as interview skills. In 2005, he left the Government and served as the Regional Head of Investigations of two US based MNCs responsible for the investigation and training for fraud and ethics in Asia Pacific Region. He currently owns his private investigation business and he is also a special consultant of a London based company, dealing with kidnap, ransom, extortion and other crisis matters.

### **Outline:**

What makes a fraudster tell the truth when being interviewed by an investigator? What amount of truth is considered sufficient in an investigation? What skills should an investigator possess to be a qualified interviewer? Interview is probably the most important and uncertain part of fraud investigations. The person sitting across the table may hold the key to finding out what happened. There is an art to coaxing that information from them. In this session we examine how to prepare, conduct and follow-up an interview. Kris will present insights gained from his experience with both the law enforcement and corporate regime. He will introduce a practical model of admission seeking interview arrangements and demonstrate the skills together with case examples. These examples give a realistic demonstration to present the interview records as evidence in criminal or civil courts.

## Fraud investigations with digital evidence management tool

*11<sup>th</sup> December 2021 (Saturday), 9am - 12noon, 3 Hours / 3 CPE Credits*

### **Presenters: Cedric Kwan, Regional Director, OpenText Hong Kong Ltd.**

#### **Richard Zhou, EnCE, CISA, CISSP, OpenText Hong Kong Ltd.**

**Cedric Kwan** is currently the Regional Director for OpenText Hong Kong and Taiwan. He has more than 20 years of experience in the information systems industry. Cedric's expertise focuses on technology consulting and solution development in large global projects across the public sectors (Police / Immigration / Customs and Excise), telecommunications, and financial services. He has also held solution and consulting roles at Oracle and Hewlett Packard covering Database Security and Centralized Encryption Key Management Solution.

**Richard Zhou** is an experienced security professional running internal investigations. He is also a certified digital forensic instructor and has conducted numerous training classes for public and private sector across APAC region including law enforcement agencies. Richard is an OpenText EnCase Certified Examiner, an ISACA Certified Information System Auditor and Certified Information Systems Security Professional from (ISC)<sup>2</sup>. He is currently a Senior Security Consultant at OpenText.

### **Outline:**

The changing environment of fraud investigations goes with a high speed towards the digital world. Today, most evidence are stored electronically, onsite or remote. More and more cases involve a tremendous increase of evidence which are in various digital forms. Fraud investigators of both private sector and law enforcement agencies are struggling to find better ways to deal with the evidence which needs tools and skills to locate, acquire, preserve, store, manage, analyze and present mountains of digital data.

To conduct forensically sound investigations and avoid backlogs, strains on limited resources and delayed legal actions, fraud investigators need a solution to effectively consolidate multi-formatted evidence stored across a variety of media.

This session gives an overview of trends in how technology is being used to solve this problem. The presentation includes:

- How can we look deep into the changing environment of digital evidence?
- What a sophisticated digital tool can do to acquire, store, manage, analyze and present digital evidence?
- Case Demo

## Registration Guide

A maximum of 100 places are available for this course, which is offered in a hybrid format; on-line and live at the HKU campus. A limited number of seats will be available at the HKU campus, please indicate your preference below.

Seats will be reserved on a first come first-served basis and will be confirmed only after both a completed Registration Form and payment are received. Pricing is shown in the attached registration form.

Substantial discounts are offered for members of the Hong Kong Chapter, members of ACFE and students and members of supporting organizations, including ACAMS, ASIS, ACCA, CIMA, HKICPA, IIA, ISACA, HKSI, HKAFA, HKINEDA, and HKU.

In addition to member discounts, participants are also entitled to a 10% discount for groups with at least 5 participants.

### CPE Credits

- Each three-hour session will earn 3 CPE points. Members attending all the sessions can earn 12 CPE points.
- Participants must view/attend the entire event to be eligible for a course certificate and CPE.


### Terms and Conditions:

- Registration will be confirmed upon receipt of Registration Form and payment.
- Confirmation of the registration will be sent closer to the training date.
- Payment is non-refundable, but transfer to another person of the same category will be accepted.
- Participants may be refused admission if payment is not received prior to the event.
- A receipt for payment, together with the certificate of attendance (if applicable) will be sent upon completion of the training course.
- The organisers reserve the right to substitute speakers and alter the programme and venue for reasons beyond their control.
- Updated information about course arrangements will be available on [www.hkacfe.com](http://www.hkacfe.com).
- CPE Points will be awarded for attendance confirmed by signing in on each day of the course.
- 3 CPE points will be awarded for each day of the course with a possible maximum credit of 12 CPE points.

### Bad weather:

- If typhoon signal No. 8 or Black Rainstorm Warning is hoisted 2 HOURS before training course commencement the training course will be RESCHEDULED, and all participants will be notified later about the revised arrangements.

### How to Register

- 1) Register online at <https://www.hkacfe.com/training/2021-part2>   
- OR -
- 2) Complete and send the Registration Form and Payment to "Association of Certified Fraud Examiners Hong Kong Chapter Limited":  
  
By post:  
GPO Box 8412, Central, HK  
By fax: (852) 3019 2251  
By email: [events@hkacfe.com](mailto:events@hkacfe.com)

### How to Pay

- 1) Online payment  
By Visa / Master via Paypal (available for online registration only; not applicable for group discount)
- 2) By Direct Deposit:  
Pay to the Bank of East Asia  
A/C No. 015-514-40-67876-7  
Account Name: "Association of Certified Fraud Examiners Hong Kong Chapter Limited"
- 3) By Cheque:  
Payable to "Association of Certified Fraud Examiners Hong Kong Chapter Limited" \*  
  
\* No payment will be received or accepted without full name stated in the cheque and/or bank transfer and/or bank remittance documents.

### Fraud Investigation Training (Part 2 of 2)

#### CPE Hours:

12 (3 per session)

#### Date & Time:

20<sup>th</sup> & 27<sup>th</sup> November  
4<sup>th</sup> & 11<sup>th</sup> December  
9am - 12noon

#### Live and by Webinar:

Limited seating at HKU campus  
- OR -  
Zoom webinar

### Organized By:



### In Partnership With:

CENTRE FOR  
CRIMINOLOGY  
HKU

**To:** Association of Certified Fraud Examiners Hong Kong Chapter Limited  
**fax:** (852) 3019 2251  
**email:** [events@hkacfe.com](mailto:events@hkacfe.com)  
**post:** GPO Box 8412, Central, Hong Kong

### Registration Form

Categories of Participants	20 Nov 2021	27 Nov 2021	4 Dec 2021	11 Dec 2021	All Sessions
ACFE HK / ACFE Members, Students / Members of ACAMS, ASIS, ACCA, CIMA, HKICPA, IIA, ISACA, HKSI, HKAFA, HKiNEDA, HKU	HK\$500	HK\$500	HK\$500	HK\$500	HK\$1,600
<b>No. of Participants</b>					
<b>Sub-total:</b>					
<b>Non-Members</b>	HK\$850	HK\$850	HK\$850	HK\$850	HK\$2,600
<b>No. of Participants</b>					
<b>Sub-total:</b>					
<b>10% Group Discounts (5 or more persons)</b>					
<b>GRAND TOTAL:</b>					

Name:	Employer:
Email:	Phone:

Registration for:  20 Nov  27 Nov  4 Dec  11 Dec  All sessions  
 Attend live presentation at HKU campus (limited number of seats available) :  Yes  No  
 Member of ACFE HK / ACFE (non-HK Chapter) Member \* (ACFE Membership No. \_\_\_\_\_)  
 Member of ACAMS, ASIS, ACCA, CIMA, HKICPA, IIA, ISACA, HKSI, HKAFA, HKiNEDA, and HKU \*  
*\* Please circle as appropriate* (Membership No. \_\_\_\_\_)

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*\* Please circle as appropriate* (Membership No. \_\_\_\_\_)

*(Please duplicate this sheet for additional participants)*

Venue of live session:



MWT6, Meng Wah Complex, The University of Hong Kong, Pokfulam, Hong Kong